Modern Software Lifecycle Practices

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DoD Priorities

Executing system development on schedule and cost

Rapidly evolving systems to exploit new technologies
DoD Challenges in Modern Software Lifecycle Practices

- Manual practices do not scale
- Selecting best-fit software development and analysis methods is not trivial
- Software process metrics do not reflect product realities
- Critical qualities like safety, security, and sustainability are afterthoughts
- Evolving legacy software with new technology is time-consuming, costly, and error-prone
The SEI Approach

Increase automation to enable repeatable, scalable software lifecycle practices:

- Apply model-based techniques to enable safe and secure system development while decreasing uncertainty
- Implement tool-supported system analysis to collect reliable data and enable just-in-time response to problems
- Develop software data analytics, such as applying AI techniques to software data, to improve decision making
- Rapidly pilot interim results with government, industry, tool vendors, and industry partners
Integrating Safety and Security Engineering for Mission-Critical Systems
DoD Challenges in Critical-System Safety and Security

**Problem:** Modern safety-critical systems are created by networking heterogeneous components together. State-of-the-art functionality now often requires exposure of those networks to the outside world, so security has become a concern.

How should security analysis and design techniques be integrated with their safety-focused counterparts?

**Solution:** AADL is an internationally standardized architecture modeling language with a 15-year history of successful use in commercial, industrial, academic, and military applications.
AADL excels at analyzing component-based systems by
• integrating annotated components
• running system-level analyses
Safety and Architecture

**Problem:** Safety problems are created early and caught late

**Solution:** ALISA is a tool kit and process for addressing system safety at the architecture level

Safety is evaluated in the same way as other quality attributes: components are annotated, and then the integrated system is analyzed

Previous security architecture research, such as the Multiple Independent Levels of Security (MILS), focused on separating security policy and enforcement:

We added support for MILS within AADL/OSATE as well as code generation from models with security policies:

1. Security policy specification
2. Security policy enforcement
3. Generation and deployment of compliant systems
Modeling Security Requirements in the Context of Safety

**Approach:** Use effects-focused analysis and tooling

- When are various techniques appropriate?
  - Biba model (integrity)
  - Bell–LaPadula (confidentiality)

- What “building blocks” should be used?
  - examples: encryption, partitioning, checksums

- How should requirements be verified?

**Measurement:** Proposed user study (in FY 20) to measure qualities of design and analysis guidance

- Objective qualities
  - Number of issues found / avoided
  - Time required

- Subjective qualities
  - Quality of issues found / avoided
  - Complexity
Approach: Use fault-injection tooling
• Fault-injection pairs naturally with an effects focus
• Collaborators are building a large simulation and verification environment to enable this testing

Measurement:
• Current AADL can describe component behavior in the presence of errors
• This project will let us verify those descriptions
Using Software Analytics to Analyze Technical Debt
DoD Challenges in Managing Technical Debt

**Problem:** Government acquirers need capabilities to assess technical debt to manage software schedule and trustworthiness
- Differentiate between intentional and unintentional debt
- Prioritize which debt to pay down
- Quantify consequences of technical debt as it remains in the system

**Solution:** Technical debt analytics will integrate data from multiple sources (code, tickets, code commits) to identify design issues with potential long-term adverse consequences
Automating the Identification of Technical Debt

CMU SEI Approach

1. Build a classifier to detect technical debt discussions in issue trackers
2. Augment static code analysis rules to identify design violations
3. Correlate with commit history data to record candidate technical debt items

Partners
- U.S. Air Force Life Cycle Management Center
- U.S. Food and Drug Administration
Advancing the State of the Art

Developed a TD classifier using machine learning:
Our classifier estimates at least 16% of developer discussions are related to technical debt (data from Chromium open-source issues)

Created design violation analysis augmenting an open-source static code analyzer:
Our algorithm assists in focusing on problematic files, reducing the space of investigation by about 95%

Prioritized candidate technical debt items with supporting evidence
Development teams agree with 80% of the prioritized items as representing technical debt
**Approach:** Uses machine learning, focusing on

- modeling with boosting algorithms to build the weighted average of many classification trees – iteratively improving weak classifiers and creating a final strong classifier
- active learning pipeline and iterating over the data set to use 1,934 labeled technical debt examples
- feature engineering to combine discussion length, n-grams, key phrases, concepts, and document context

Using Chromium project with 475,000 issues

**Performance metrics**

<table>
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<th></th>
<th>Accuracy*</th>
<th>Precision*</th>
<th>Recall*</th>
<th>AUROC*</th>
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</table>
Automating Technical Debt Analysis on Code

Approach:
Combine data from static code analysis and commit history analysis to locate areas of code that hold candidate technical debt items (TDI)

• Augment static code analysis with design rule analysis
• Correlate with commit history profiles of files co-changing and co-committed
• Apply to open-source as well as collaborator data
Developing Analysis Rules and Design Topics

Applied design rule extraction to nine collaborator projects and followed three for longitudinal analysis:

• Teams consistently rate maintainability issues as low priority; in fact, teams change the priority of rules to remove the noise

• The algorithm can identify design problems such as logging, exception handling, and synchronization that should have been acted on earlier

| 334 | Standard outputs should not be used directly to log anything | DR |
| 39 | Nested code blocks should not be used | DR |
| 20 | @FunctionalInterface annotation should be used to flag Single Abstract Method Interfaces | DR |
| 20 | clone should not be overridden | DR |
| 19 | Classes should not be too complex | DR |
| 17 | “Exception” should not be caught when not required by called methods | DR |
| 15 | Methods should not have too many parameters | DR |
| 10 | Classes named like "Exception" should extend "Exception" or a subclass | DR |
| 3 | Exception handlers should preserve the original exceptions | DR |
| 2 | Throwable and Error should not be caught | DR |
| 2 | Credentials should not be hard-coded | DR |
## Example Candidate Technical Debt Items

### Hadoop: 12,365 files → 61 files; 43 clusters

<table>
<thead>
<tr>
<th>TDI candidate</th>
<th>Evidence</th>
<th>Design paradigm ➔ Technical debt issue</th>
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</thead>
<tbody>
<tr>
<td>DFSA***.java</td>
<td>Top in DR violations (282)</td>
<td>Logging should be centralized to avoid security and other data management issues</td>
</tr>
</tbody>
</table>
| DFS****.java  | DR violations (151) | Credentials and IP addresses should not be hard coded  
|               |                       | Deprecated code should be removed |
| F*****.java   | DR violations (106) | Redundant exceptions propagate errors and create vulnerabilities |
| DFS****.java  | Top 2% of files in DR violations  
| - Dis*****.java | High % of commit coupling | Redundant exceptions propagate errors and create vulnerabilities and resource management issues  
|               |                       | Connected files propagate issues |
| FS******.java | Top 2% of files in DR violations  
| - F****m.java | High % of commit coupling | Redundant exceptions propagate errors and create vulnerabilities and resource management issues  
| - F****ry.java |                       | Connected files propagate issues  
| - B****.java  |                       |                             |
| - F*****p.java |                       |                             |
Your Technical Debt Toolbox

How does SEI accelerate progress?

- Develop policy guidance
- Develop organizational practices
- Extend and develop tools
- Support data analysis
- Build a community of research and practitioners

What can you and your teams do today?

- Become aware of debt
- Assess the debt
- Build a technical debt registry
- Decide what to fix
- Take action
Looking Ahead: Increasing Automation to Assist Evolving Systems
FY 19–21: Evolving DoD Software Affordably

**Problem:** Refactoring is a slow, labor-intensive activity
- Harvest components for use in a next-generation system
- Replace a proprietary component
- Reduce coupling with hardware platform

**Solution:** Create an automated-component refactoring assistant that recommends architectural refactorings and implements them through code transformations
FY 19–21: Evolving DoD Software Affordably

CMU SEI Approach
1. Formalize the evolutionary goal, and use it to drive recommendations
2. Digest and derive existing architecture
3. Adapt search-based algorithms to generate suitable code recommendations

[1] Goals
Legacy code

[2] Refactoring assistant

[3] Refactored code
FY 19: Using Machine Learning for Software Development

**Problem:** Inability to detect and enforce use of design patterns limits DoD’s capability to develop affordable and trustworthy software

**Solution:** Create a proof-of-concept tool using code analysis and deep learning to automatically detect most commonly seen design patterns, in particular model–view–controller
FY 19: Using Machine Learning for Software Development

CMU SEI Approach

1. Bridge abstraction gap between code and design patterns
2. Represent code for machine learning
3. Publish data, and iterate

Long-term vision: integrate into continuous-integration tool chains

Impact: Advancing the State of Practice

Tooling:
• osate.org
• sei.cmu.edu/go/technicaldebt

Transition partners:
• U.S. Army Joint Multi-Role Tech Demo
• U.S. Air Force Life Cycle Management Center
• U.S. Food and Drug Administration

Community:
• savi.avsi.aero
• techdebtconf.org