



SCADA Resilience via Autonomous Cyber-Physical Agents

Joseph Andrew Giampapa
PI, Senior Member of Technical Research Staff
Software Engineering Institute

Gabriela Hug-Glanzmann
Soumya Kar
Co-PIs, Assistant Professors
Electrical and Computer Engineering

Carnegie Mellon University
Pittsburgh, PA 15213

Tuesday, 4 February 2014



Disclaimer

Copyright 2014 Carnegie Mellon University

This material is based upon work funded and supported by the Department of Defense under Contract No. FA8721-05-C-0003 with Carnegie Mellon University for the operation of the Software Engineering Institute, a federally funded research and development center.

Any opinions, findings and conclusions or recommendations expressed in this material are those of the author(s) and do not necessarily reflect the views of the United States Department of Defense.

NO WARRANTY. THIS CARNEGIE MELLON UNIVERSITY AND SOFTWARE ENGINEERING INSTITUTE MATERIAL IS FURNISHED ON AN "AS-IS" BASIS. CARNEGIE MELLON UNIVERSITY MAKES NO WARRANTIES OF ANY KIND, EITHER EXPRESSED OR IMPLIED, AS TO ANY MATTER INCLUDING, BUT NOT LIMITED TO, WARRANTY OF FITNESS FOR PURPOSE OR MERCHANTABILITY, EXCLUSIVITY, OR RESULTS OBTAINED FROM USE OF THE MATERIAL. CARNEGIE MELLON UNIVERSITY DOES NOT MAKE ANY WARRANTY OF ANY KIND WITH RESPECT TO FREEDOM FROM PATENT, TRADEMARK, OR COPYRIGHT INFRINGEMENT.

This material has been approved for public release and unlimited distribution.

This material may be reproduced in its entirety, without modification, and freely distributed in written or electronic form without requesting formal permission. Permission is required for any other use. Requests for permission should be directed to the Software Engineering Institute at permission@sei.cmu.edu.

Carnegie Mellon® is registered in the U.S. Patent and Trademark Office by Carnegie Mellon University.

DM-0000954



Advisors from Industry

Kevin Ding

CenterPoint Energy

Houston, TX

Valentine Emiseh

CenterPoint Energy

Houston, TX

Dong Wei

Siemens Corporation

Princeton, NJ



Outline

- **False Data Injection (FDI) Attack**
- Three Types of FDI Attack
- Illustrative Example
- Autonomous Cyber-Physical Agent Architecture
- References
- Discussion



Cyber-Threat: False Data Injection (FDI) Attack

- Single-most critical EMS function is **state estimation**
 - Process is **central** to a grid control center
 - Receives noisy remote sensor data
 - Identifies and discards **bad data**
 - Determines **state variables** of the grid for power flow calculations
 - Based on this data, power grid operations are determined
- False Data Injection
 - Falsifies data that is input to state estimation
 - Has two potential impacts on operator's perception of grid state:
 - Loss of **observability** of power grid state
 - Perceived **observability**, but
 - Incorrect and unsafe adjustments can be made
 - Based on misperceptions of system state due to FDI data



Outline

- False Data Injection (FDI) Attack
- **Three Types of FDI Attack**
- Illustrative Example
- Autonomous Cyber-Physical Agent Architecture
- References
- Discussion

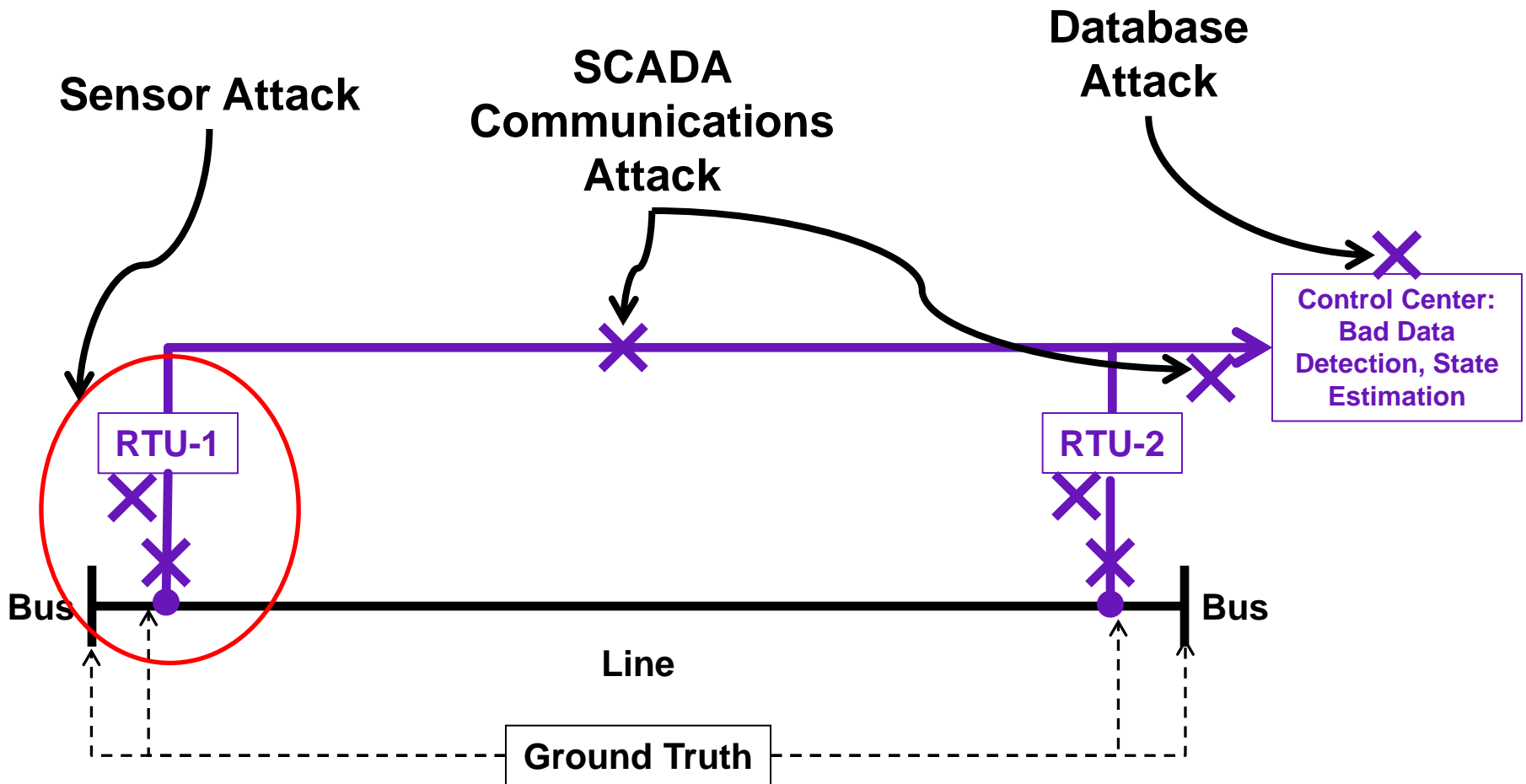


Three Types of FDI Attacks

1. Sensor Attack
 2. SCADA Communications Attack
 3. Attack on Control Center Centralized Database
- Each type of attack is detectable and/or identifiable in isolation
 - Combinations of attacks are not yet considered



Schematic of Attacks



Sensor Attack

- With complete sensor agent coverage
 - We can *detect* and *identify* an attacked sensor.
 - Complete: one agent per sensor, one sensor per bus
 - As long as the set of non-attacked measurements constitute an observable set of measurements.
- Caveat: most grids do not deploy complete sensor coverage.
- For a specific grid, observability analysis will need to be performed before guarantees can be made.



SCADA Communications Attack

- We can *detect* the presence of an attack
 - It can be *localized* if the communications topology is radial
 - All sensors communicate directly with the control center
 - And if the sensors from which the readings are made are from an observable set of measurements
- In the event of non-radial communications topology:
 - Localization of attack will depend and need to be analyzed per segment
 - Assurance claims can still be made that inform area of compromise.



Database Attack

- An FDI attack can be *detected* and *localized* to DB
 - Via distributed state estimation performed by the agents
 - Assuming that all communications are secure, and that we have an
 - Observable set of measurements from the sensors



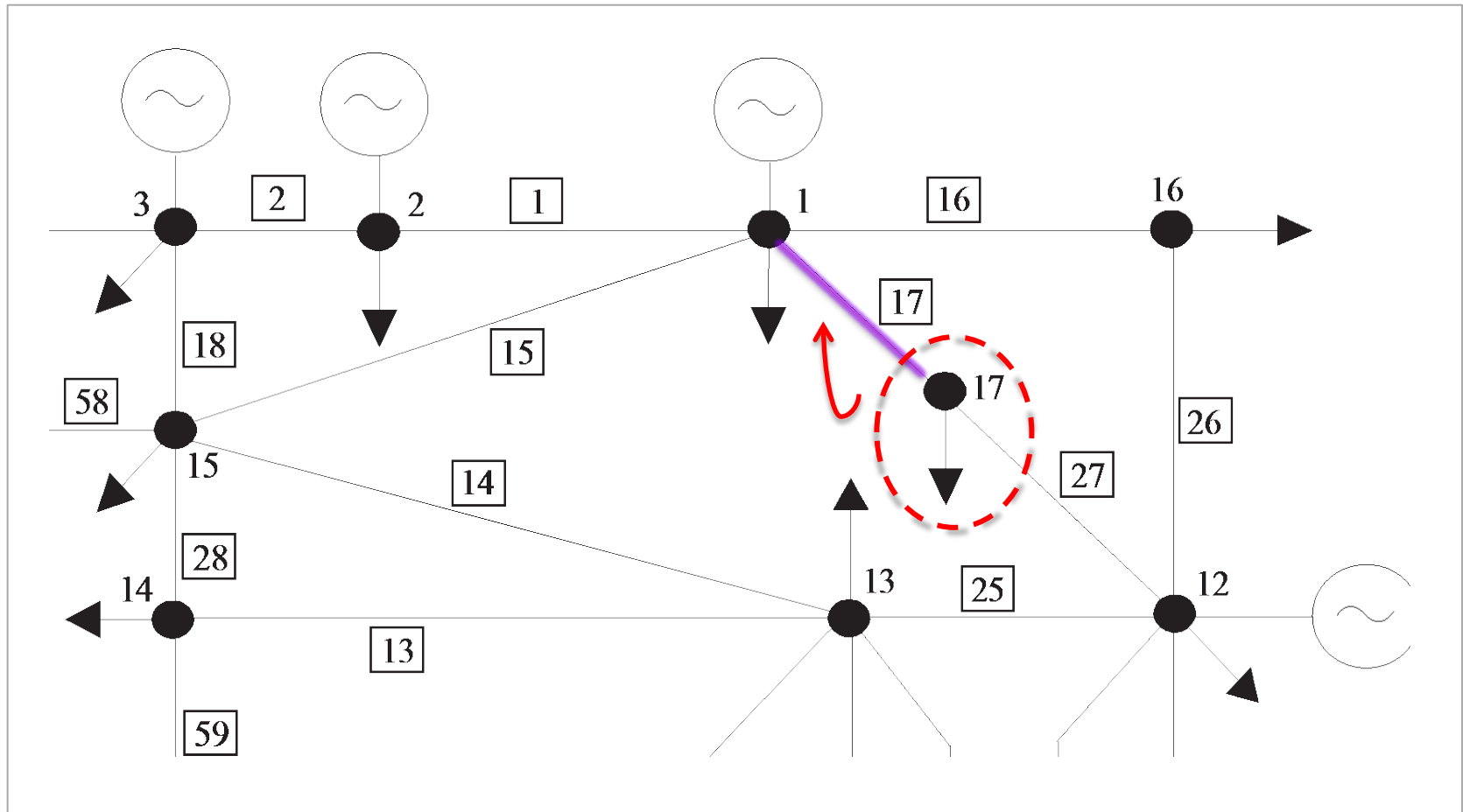
Outline

- False Data Injection (FDI) Attack
- Three Types of FDI Attack
- **Illustrative Example**
- Autonomous Cyber-Physical Agent Architecture
- References
- Discussion



Illustrative example

Consider an attack on line 17 to induce a load shed situation targeting bus 17 ...



Illustrative example: FDI

Impact on the Line 17:

| Line 17 | | | | | |
|---------|-------------|----------|--------|-------------------|--------------------|
| Type | Line Number | From Bus | To Bus | Detection likely? | Mismatch (Std Dev) |
| Pline | 17 | 1 | 17 | No | 18.990 |
| Pline | 17 | 17 | 1 | No | 18.690 |
| Qline | 17 | 1 | 17 | No | 3.469 |
| Qline | 17 | 17 | 1 | No | 4.840 |

Legend:

- V Voltage magnitude measurement
- P Active power injection measurement
- Q Reactive power injection measurement
- p Active power flow measurement
- q Reactive power flow measurement

| | | | | |
|---|---|---|---|---|
| V | P | Q | p | q |
|---|---|---|---|---|

Undetected ; Mismatch = [0 , 3 x Std Dev]

| | | | | |
|---|---|---|---|---|
| V | P | Q | p | q |
|---|---|---|---|---|

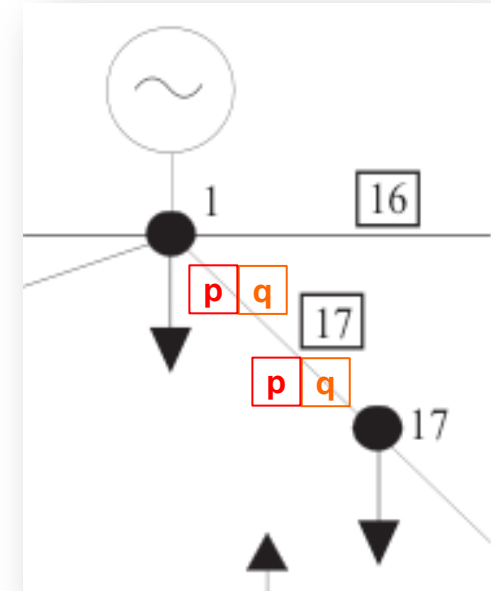
Undetected ; Mismatch = (3 x Std Dev , 6 x Std Dev]

| | | | | |
|---|---|---|---|---|
| V | P | Q | p | q |
|---|---|---|---|---|

Undetected ; Mismatch > 6 x Std Dev

| | | | | |
|---|---|---|---|---|
| V | P | Q | p | q |
|---|---|---|---|---|

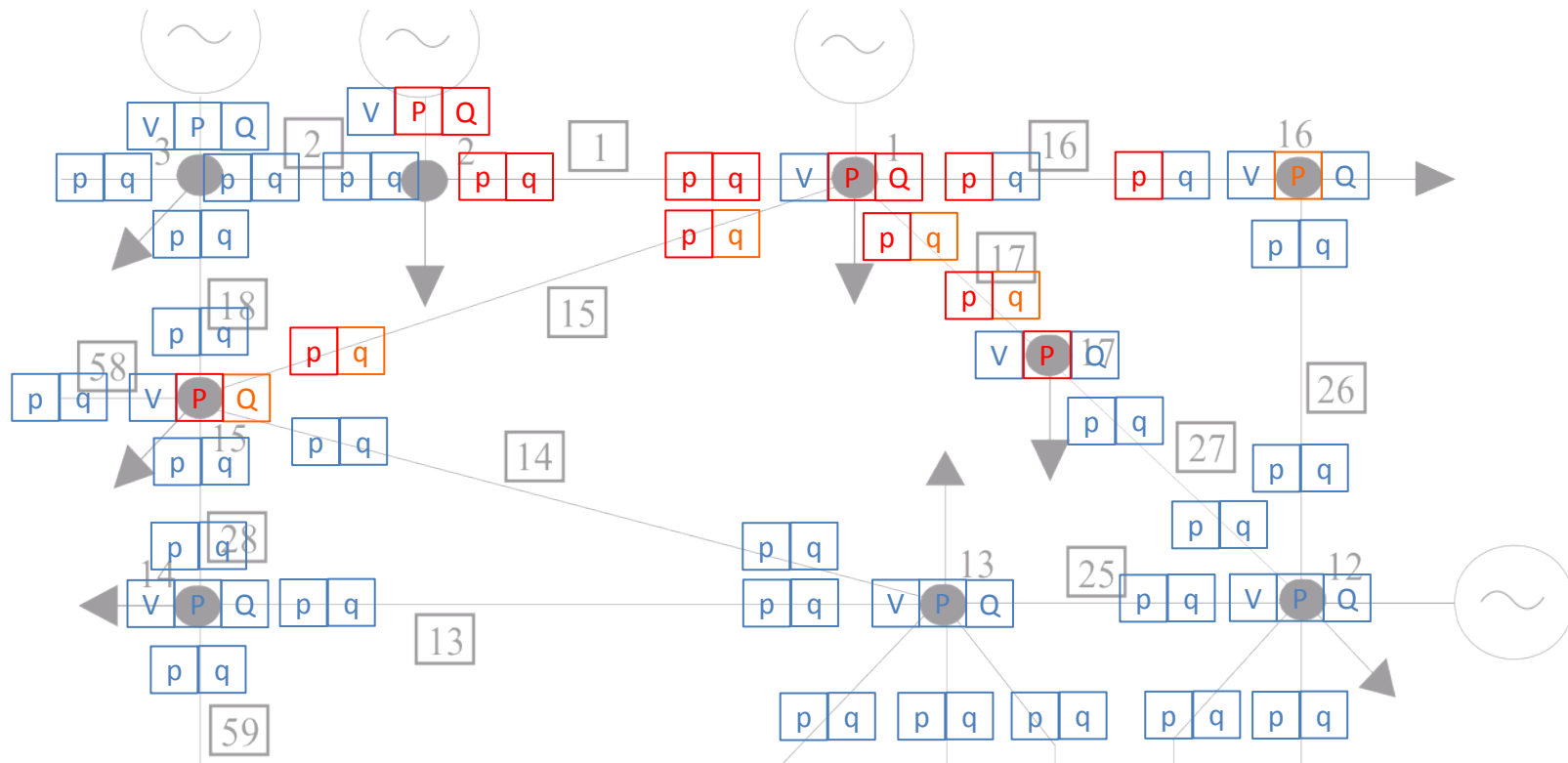
Detected



Illustrative example: FDI

Observations:

The extent of the impact diminishes with distance from the point of attack, e.g. line 17.



Mismatch = [0 , 3 x Std Dev]

V P Q p q

Mismatch = (3 x Std Dev , 6 x Std Dev]

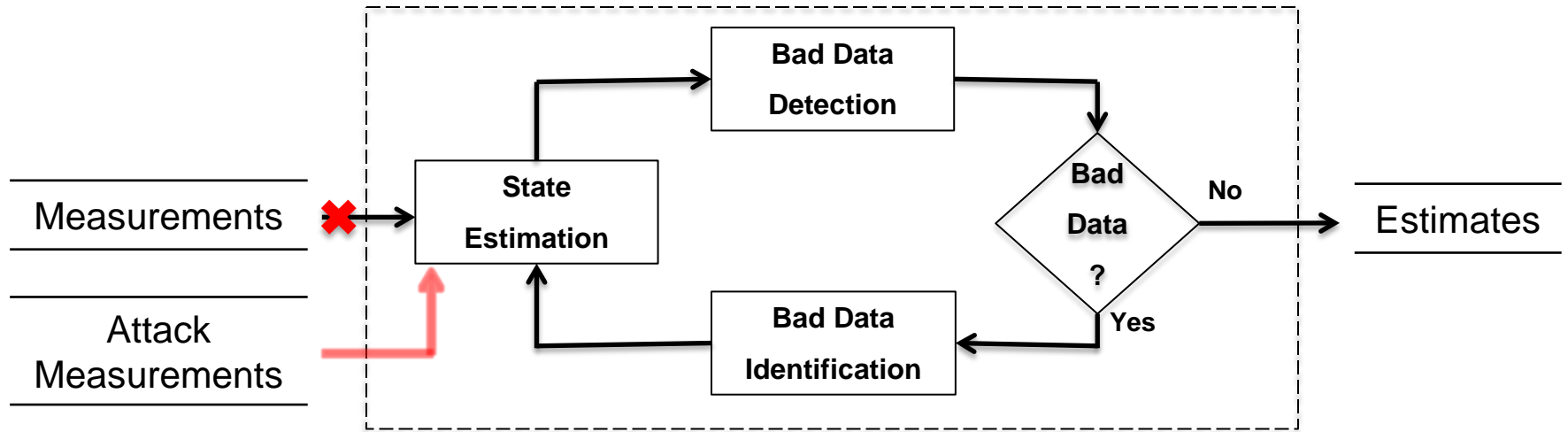
V P Q p q

Mismatch > 6 x Std Dev

V P Q p q

Illustrative example: FDI

State Estimation Program



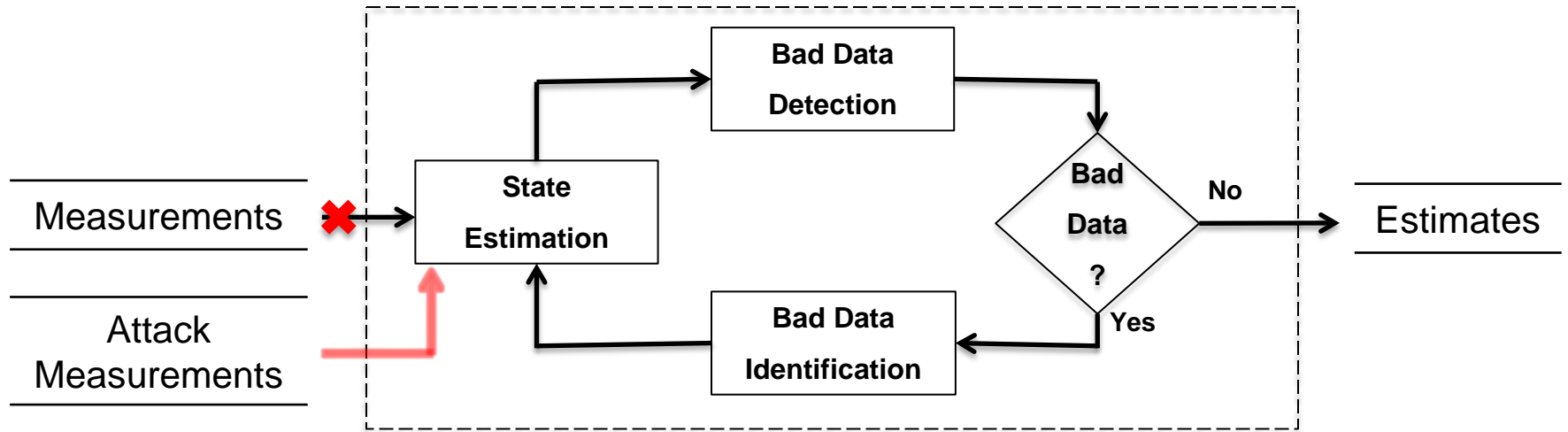
Line 17

| Type | Line Number | From Bus | To Bus | Measurements (p.u.) |
|-------|-------------|----------|--------|---------------------|
| Pline | 17 | 1 | 17 | 0.453 |
| Pline | 17 | 17 | 1 | -0.448 |
| Qline | 17 | 1 | 17 | 0.072 |
| Qline | 17 | 17 | 1 | -0.081 |



Illustrative example: FDI

State Estimation Program



| Line 17 | | | | |
|---------|-------------|----------|--------|---------------------|
| Type | Line Number | From Bus | To Bus | Measurements (p.u.) |
| Pline | 17 | 1 | 17 | 0.453 |
| Pline | 17 | 17 | 1 | -0.448 |
| Qline | 17 | 1 | 17 | 0.072 |
| Qline | 17 | 17 | 1 | -0.081 |

Measurement Model:

$$\text{Measurement} = \text{Ground Truth} + \text{Random Error} + \mathbf{FDI}$$

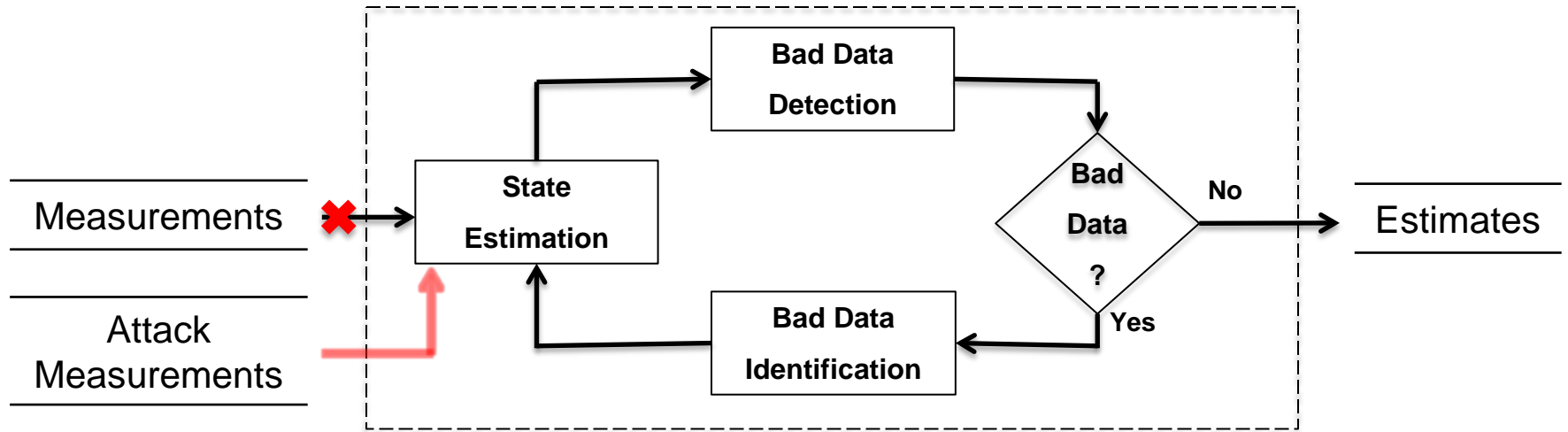
where

- Ground Truth: Actual physics of grid
- Random error: Gaussian noise $\sim N(0, \text{Std Dev})$
- Std Dev: Sensor precision
- FDI: Highly structured error



Illustrative example: FDI

State Estimation Program



| Line 17 | | | | |
|---------|-------------|----------|--------|---------------------|
| Type | Line Number | From Bus | To Bus | Measurements (p.u.) |
| Pline | 17 | 1 | 17 | 0.453 |
| Pline | 17 | 17 | 1 | -0.448 |
| Qline | 17 | 1 | 17 | 0.072 |
| Qline | 17 | 17 | 1 | -0.081 |



Measurement Model:

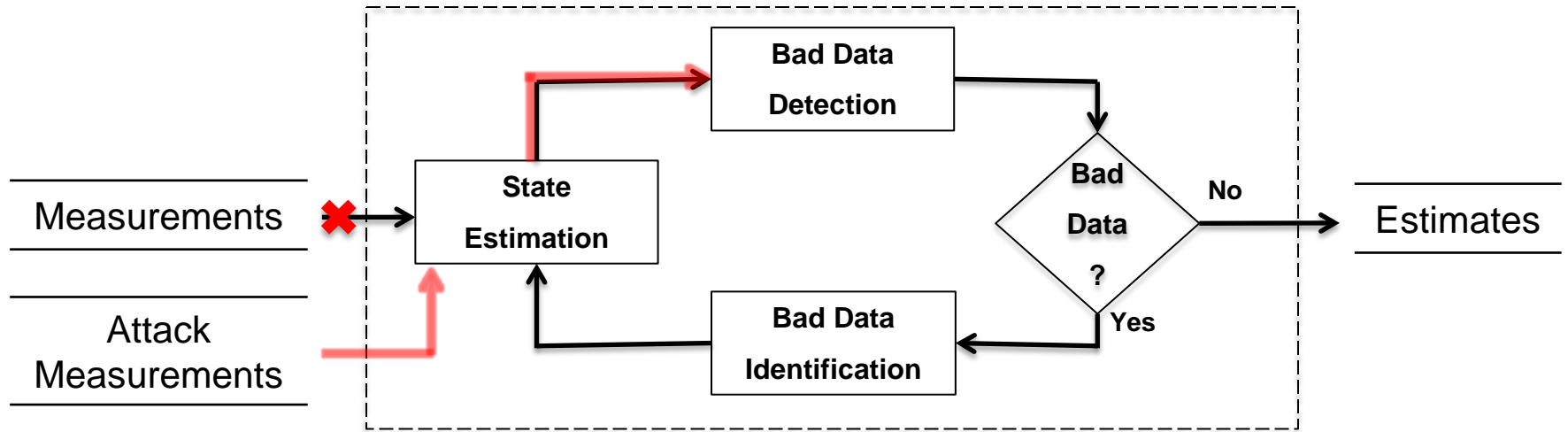
| Ground Truth (p.u.) | FDI (p.u.) | Random Error (p.u.) | Std Dev (p.u.) |
|---------------------|------------|---------------------|----------------|
| 0.301 | 1.448E-01 | 7.111E-03 | 8.000E-03 |
| -0.299 | -1.501E-01 | 5.538E-04 | 8.000E-03 |
| 0.100 | -3.176E-02 | 4.011E-03 | 8.000E-03 |
| -0.120 | 3.440E-02 | 4.323E-03 | 8.000E-03 |

FDIs are large relative to Std Devs. Unlike Gross Errors, FDIs are strategically designed using the attacker's knowledge of the grid.



Illustrative example: FDI

State Estimation Program



Line 17

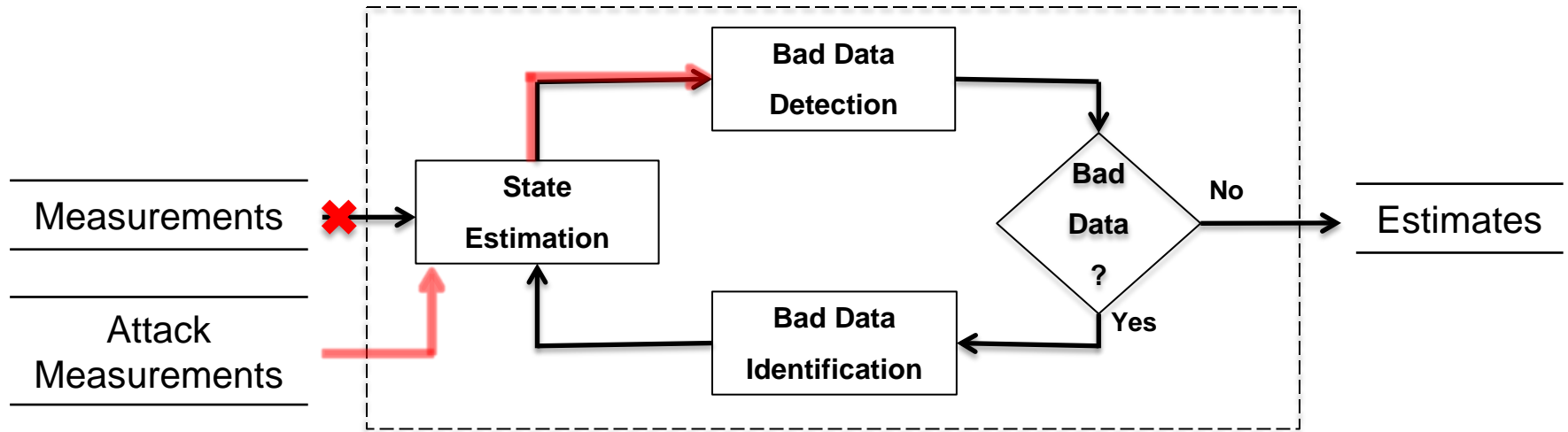
| Type | Line Number | From Bus | To Bus | Measurements (p.u.) |
|-------|-------------|----------|--------|---------------------|
| Pline | 17 | 1 | 17 | 0.453 |
| Pline | 17 | 17 | 1 | -0.448 |
| Qline | 17 | 1 | 17 | 0.072 |
| Qline | 17 | 17 | 1 | -0.081 |

Estimation Results:



Illustrative example: FDI

State Estimation Program



| Line 17 | | | | |
|---------|-------------|----------|--------|---------------------|
| Type | Line Number | From Bus | To Bus | Measurements (p.u.) |
| Pline | 17 | 1 | 17 | 0.453 |
| Pline | 17 | 17 | 1 | -0.448 |
| Qline | 17 | 1 | 17 | 0.072 |
| Qline | 17 | 17 | 1 | -0.081 |

V.S.

Estimation Results:

| Estimates (p.u.) | Residuals (p.u.) | Weighted Residuals (p.u.) |
|------------------|------------------|---------------------------|
| 0.453 | 1.080E-07 | 1.350E-05 |
| -0.448 | 1.370E-07 | 1.713E-05 |
| 0.072 | 3.774E-07 | 4.718E-05 |
| -0.081 | 7.335E-07 | 9.169E-05 |

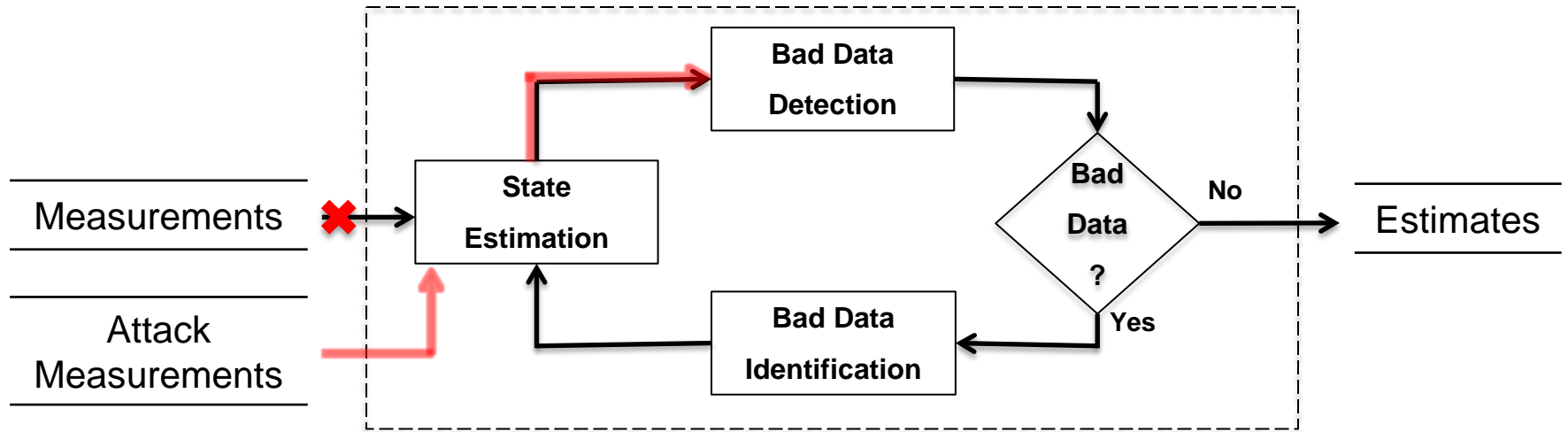
| Ground Truth (p.u.) |
|---------------------|
| 0.301 |
| -0.299 |
| 0.100 |
| -0.120 |

Estimates and measurements agree perfectly, but there are huge discrepancies when compared Ground Truth.



Illustrative example: FDI

State Estimation Program



| Line 17 | | | | |
|---------|-------------|----------|--------|---------------------|
| Type | Line Number | From Bus | To Bus | Measurements (p.u.) |
| Pline | 17 | 1 | 17 | 0.453 |
| Pline | 17 | 17 | 1 | -0.448 |
| Qline | 17 | 1 | 17 | 0.072 |
| Qline | 17 | 17 | 1 | -0.081 |

Estimation Results:

| Estimates (p.u.) | Residuals (p.u.) | Weighted Residuals (p.u.) |
|------------------|------------------|---------------------------|
| 0.453 | 1.080E-07 | 1.350E-05 |
| -0.448 | 1.370E-07 | 1.713E-05 |
| 0.072 | 3.774E-07 | 4.718E-05 |
| -0.081 | 7.335E-07 | 9.169E-05 |

Random Error:

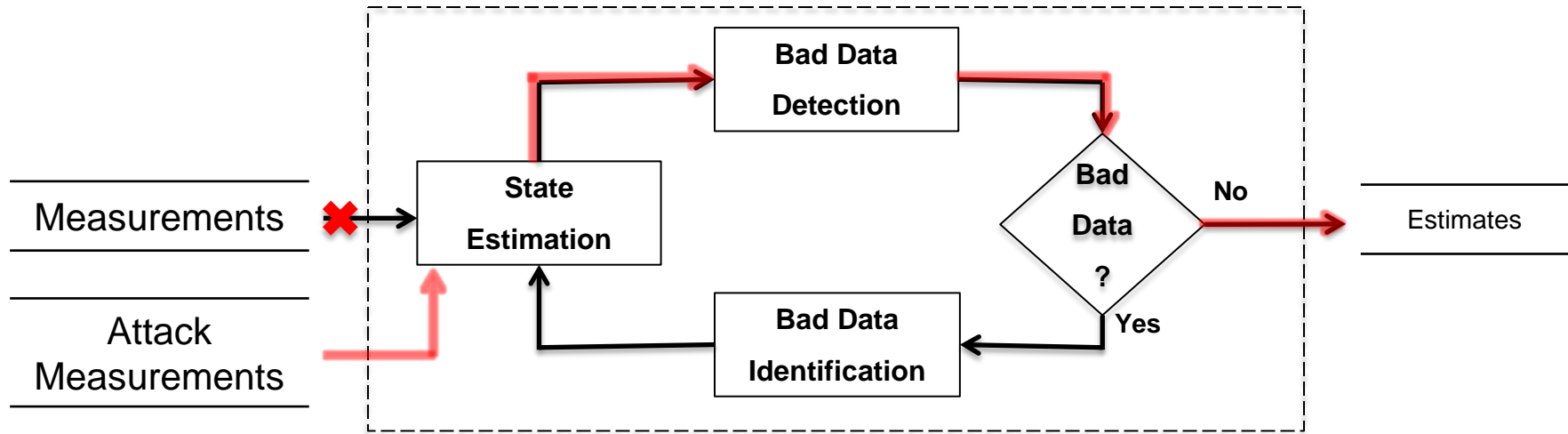
| Std Dev (p.u.) |
|------------------|
| 8.000E-03 |
| 8.000E-03 |
| 8.000E-03 |
| 8.000E-03 |

Residuals practically insignificant compared to Std Devs.



Illustrative example: FDI

State Estimation Program



| Line 17 | | | | |
|---------|-------------|----------|--------|---------------------|
| Type | Line Number | From Bus | To Bus | Measurements (p.u.) |
| Pline | 17 | 1 | 17 | 0.453 |
| Pline | 17 | 17 | 1 | -0.448 |
| Qline | 17 | 1 | 17 | 0.072 |
| Qline | 17 | 17 | 1 | -0.081 |

Estimation Results:

| Estimates (p.u.) | Residuals (p.u.) | Weighted Residuals (p.u.) |
|------------------|------------------|---------------------------|
| 0.453 | 1.080E-07 | 1.350E-05 |
| -0.448 | 1.370E-07 | 1.713E-05 |
| 0.072 | 3.774E-07 | 4.718E-05 |
| -0.081 | 7.335E-07 | 9.169E-05 |

Random Error:

| Weighted Residuals (p.u.) |
|---------------------------|
| 7.801E-01 |
| 1.762E-01 |
| 5.206E-01 |
| 5.059E-01 |

Weighted residuals are practically insignificant compared to the Random Error case.

No bad data detected => **DANGER !!!**



Illustrative example: FDI

Summary of results:

- If bad data detection is tuned to data with assumed random error distribution, then
 - FDI data will likely not be detected if it is highly structured
 - Because the weighted residual of the FDI data is much less than that of the random error.
- The negative consequences of the FDI attack:
 - Data that would normally be rejected (cf. Mismatch (Std Dev)) is accepted as good.
 - Control center operator will be making decisions based on wrong perception of operating state.
- Two types of mismatches, below, illustrate this:
 1. Mismatch = $\text{Estimated}_{\text{FDI}} - \text{Ground Truth}$ [p.u.]
 2. Mismatch = $\text{Estimated}_{\text{FDI}} - \text{Ground Truth}$ [Std Dev]

| Line 17 | | | | | | | | | | | |
|---------|-------------|----------|--------|---|--|-------------------|---------------------------------|---------------------|----------------|-----------------|--------------------|
| Type | Line Number | From Bus | To Bus | Weighted Residual _{FDI} (p.u.) | Weighted Residual _{Random} (p.u.) | Detection likely? | Estimated _{FDI} (p.u.) | Ground Truth (p.u.) | Std Dev (p.u.) | Mismatch (p.u.) | Mismatch (Std Dev) |
| Pline | 17 | 1 | 17 | 1.350E-05 | 7.801E-01 | No | 0.453 | 0.301 | 8.000E-03 | 0.152 | 18.990 |
| Pline | 17 | 17 | 1 | 1.713E-05 | 1.762E-01 | No | -0.448 | -0.299 | 8.000E-03 | 0.150 | 18.690 |
| Qline | 17 | 1 | 17 | 4.718E-05 | 5.206E-01 | No | 0.072 | 0.100 | 8.000E-03 | 0.028 | 3.469 |
| Qline | 17 | 17 | 1 | 9.169E-05 | 5.059E-01 | No | -0.081 | -0.120 | 8.000E-03 | 0.039 | 4.840 |



Outline

- False Data Injection (FDI) Attack
- Three Types of FDI Attack
- Illustrative Example
- **Autonomous Cyber-Physical Agent Architecture**
- References
- Discussion

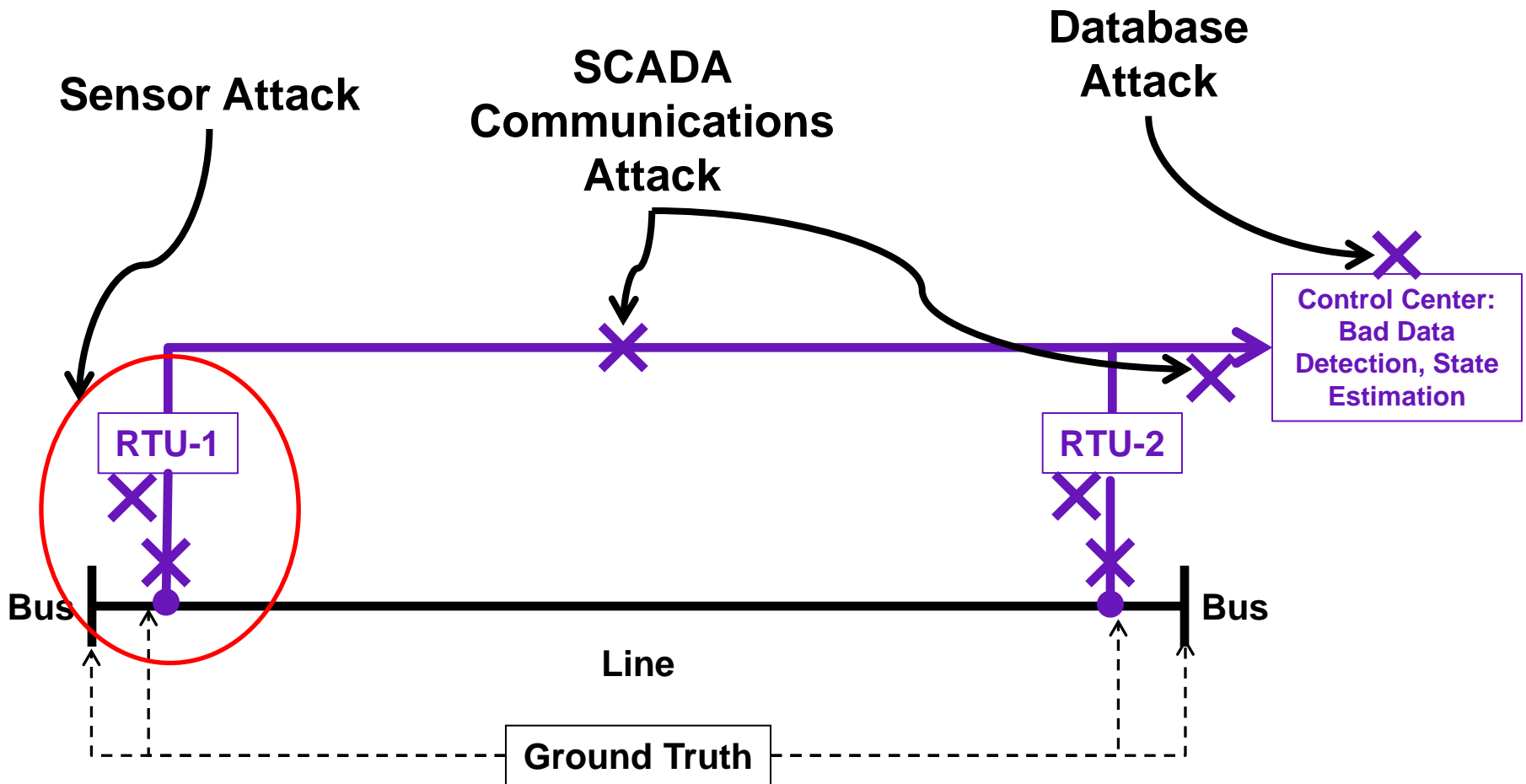


Architectural Rationale

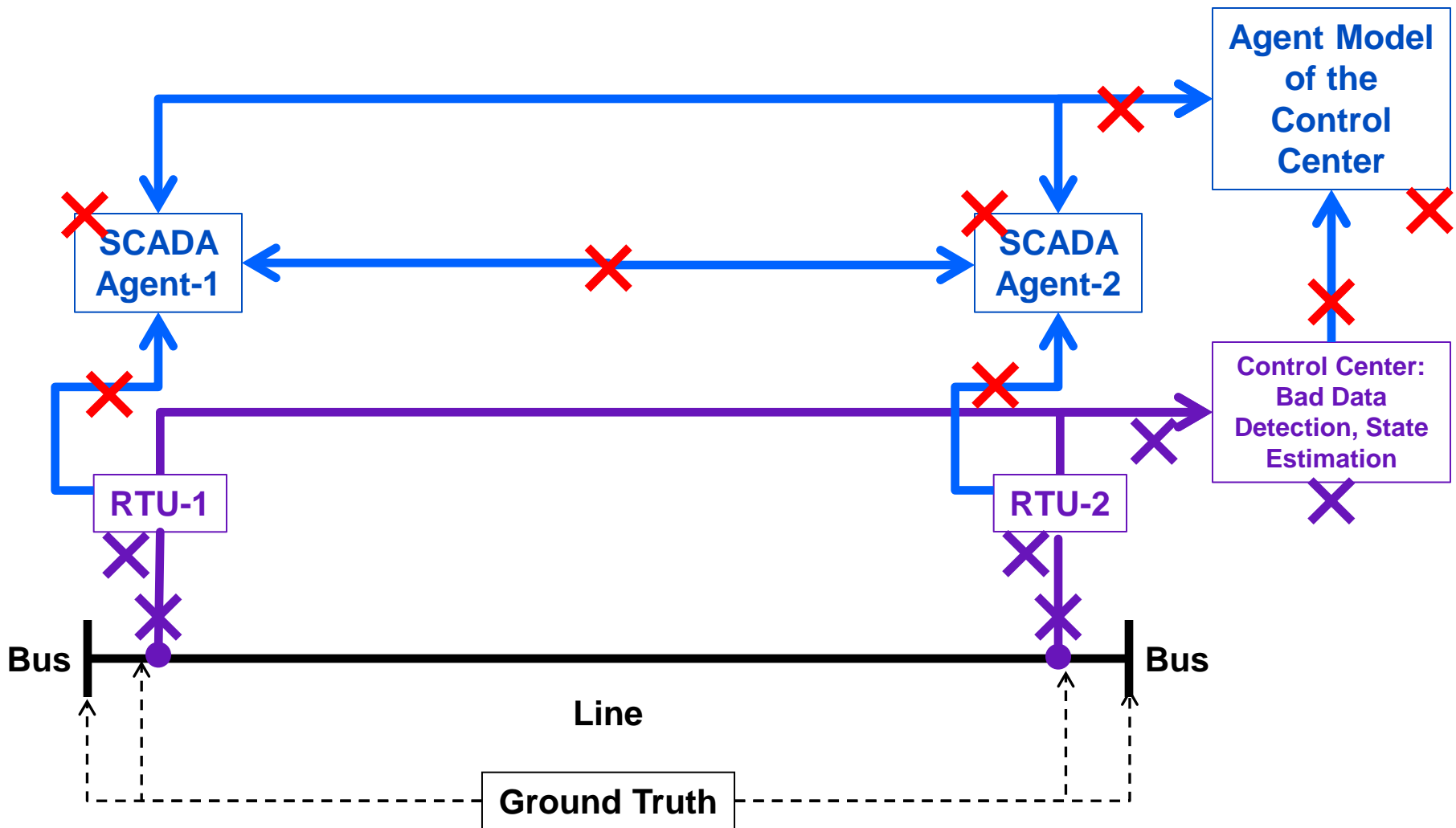
- Do not modify centralized state estimation functions with security enhancements
 - It is an optimized process for current operations
 - Early and widespread adoption is desired
 - Interoperability with legacy systems
 - Low-interference with current operations
 - Minimize startup and implementation costs
- Overlay distributed state estimation (DSE) verification for security
 - If DSE can be conducted autonomously by software agents
 - FDI attacks on centralized state estimation can be detected by distributed agents
 - Power system is a closed system
 - There is always knowledge elsewhere that can be leveraged



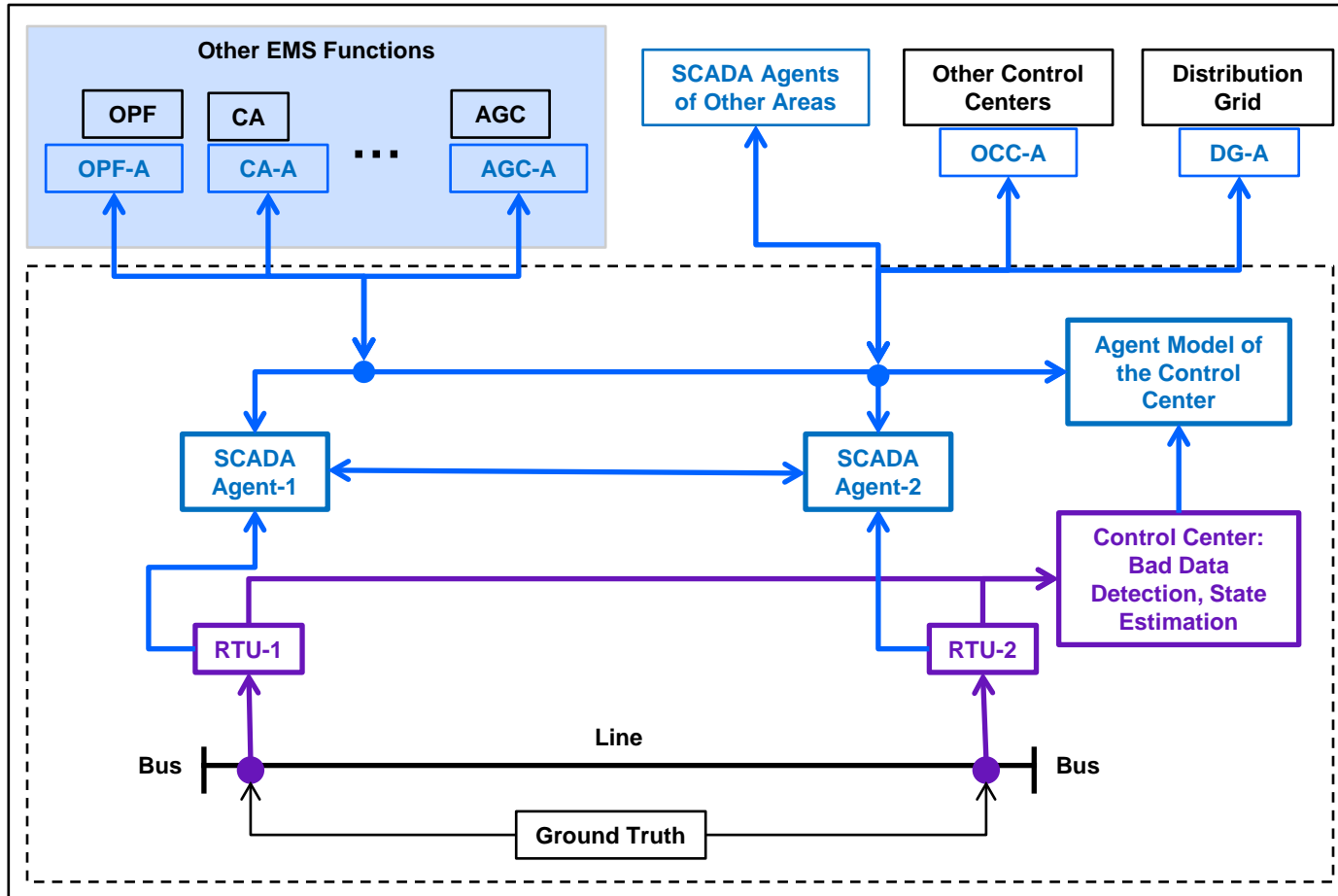
Schematic of Attacks



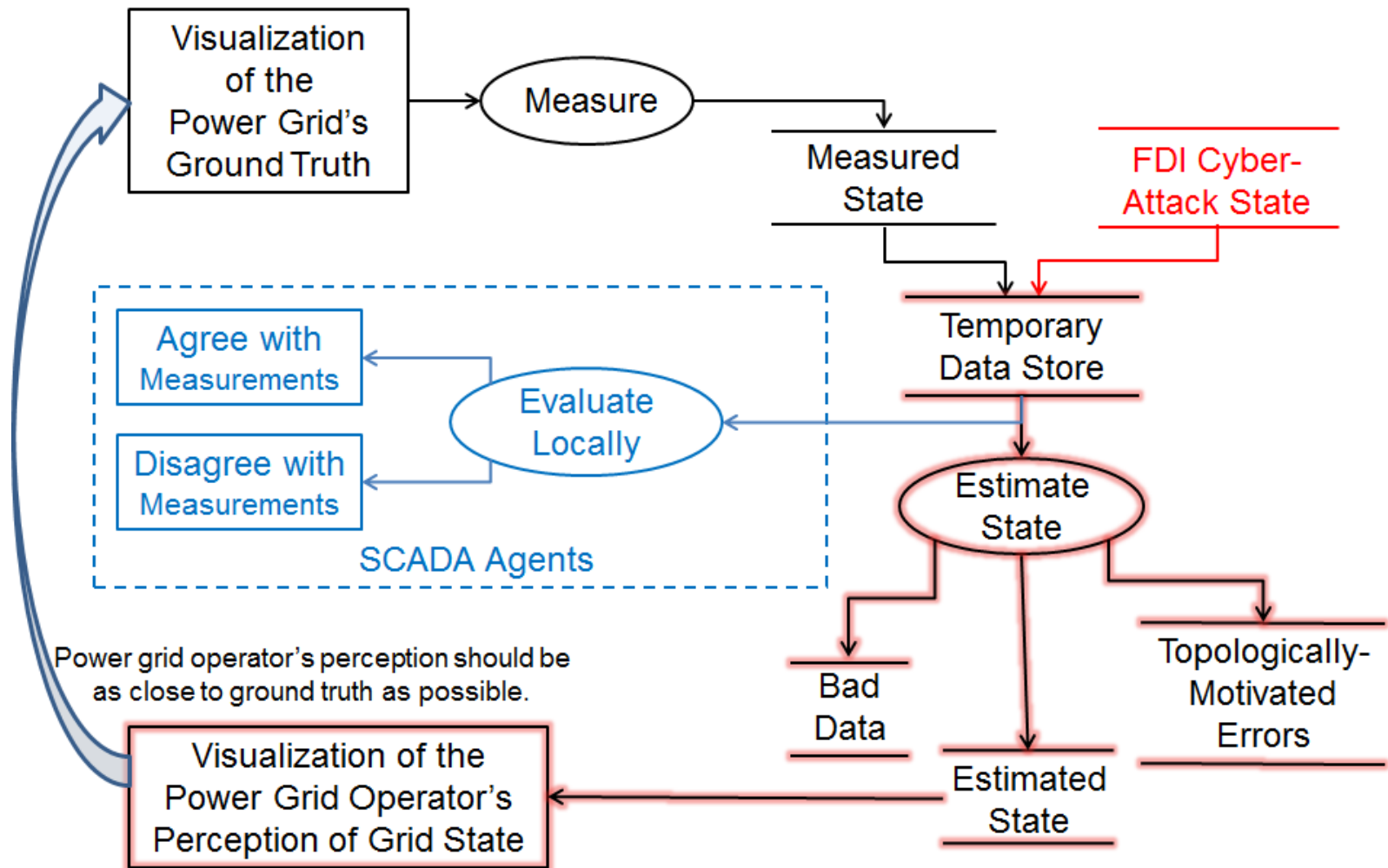
Detection Even if Agents Are Compromised



SCADA Agent Architecture



Test Bed & Data Flow



Outline

- False Data Injection (FDI) Attack
- Three Types of FDI Attack
- Illustrative Example
- Autonomous Cyber-Physical Agent Architecture
- **References**
- Discussion



References

1. G. Hug-Glanzmann and J.A. Giampapa, “Vulnerability Assessment of AC State Estimation with Respect to False Data Injection Cyber-Attacks,” in *IEEE Transactions on Smart Grid*, Vol. 3, No. 3, pp. 1362–1370, September 2012, DOI: 10.1109/TSG. 2012.2195338.
<http://ieeexplore.ieee.org/stamp/stamp.jsp?tp=&arnumber=6275516&isnumber=6275510>
2. A. Tajer, S. Kar, H.V. Poor, and S. Cui, “Distributed Joint Cyber Attack Detection and State Recovery in Smart Grids,” in *Proceedings of Cyber and Physical Security and Privacy* (IEEE SmartGridComm), © 2011 IEEE, pp. 202–207.
<http://ieeexplore.ieee.org/stamp/stamp.jsp?arnumber=06102319>
3. Y. Liu, P. Ning, and M.K. Reiter, “False data injection attacks against state estimation in electric power grids,” in *Proceedings of the 16th ACM Conference on Computer and Communications Security*, Chicago, IL, November 2009.
4. National Communications System (NCS), Technical Information Bulletin 04-1, “Supervisory Control and Data Acquisition (SCADA) Systems”, *NCS TIB 04-1*, October 2004, pp. 76.
http://www.ncs.gov/library/tech_bulletins/2004/tib_04-1.pdf



Outline

- False Data Injection (FDI) Attack
- Three Types of FDI Attack
- Illustrative Example
- Autonomous Cyber-Physical Agent Architecture
- References
- Discussion



Contact Information

Joseph Andrew Giampapa

Senior Member of the Research
Technical Staff

Research, Technology, and Systems
Solutions (RTSS) Program

Telephone: +1 412-268-6379

Email: garof@sei.cmu.edu

Web

www.sei.cmu.edu

www.sei.cmu.edu/staff/garof

U.S. Mail

Software Engineering Institute

Carnegie Mellon University

4500 Fifth Avenue

Pittsburgh, PA 15213-2612

USA

