Process Maturity Profile

Software CMM®
2004 Mid-Year Update

August 2004

We could not produce this report without the support of the organizations and lead appraisers who reported their appraisal results to the SEI℠.

Our many thanks for their continuing cooperation with our data collection and analysis efforts.

CMMI Appraisal Program

The Software Engineering Institute is a federally funded research and development center sponsored by the U.S. Department of Defense and operated by Carnegie Mellon℠ University

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Outline

Introduction

Current Status

Community Trends

Organizational Trends

Summary

This briefing is based solely on the number of appraisals reported to the SEI. It cannot be used as a source for an exact indicator of all organizations in the world using SEI models or appraisal methods nor can it be used for certification or verification purposes.

The SEI does not certify organizations. The SEI only licenses SEI partners and SEI authorized lead assessors to conduct appraisals. Neither the SEI nor any other organization is a “certifying authority” of the results from an appraisal. Therefore requests to the SEI to provide information to be used for this purpose cannot be fulfilled. Information provided by the SEI, such as this briefing, is to demonstrate use of its products and services only.

Organizations performing source selection or verification should consider performing an evaluation appraisal. For more information on appraisal methods and for a directory of authorized lead appraisers who can perform them, visit:
http://www.sei.cmu.edu/managing/app.directory.html
Introduction - 1: Purpose and Source

Characterize the process maturity of the software community

This briefing uses information from appraisals using the SW-CMM in:

- CMM®-Based Appraisals for Internal Process Improvement (CBA IPIs) and
- Software Process Assessments (SPAs) and
- Standard CMMI® Appraisal Method for Process Improvement (SCAMPI®, v1.1)

Please visit:

http://www.sei.cmu.edu/sema/profile_about.html

for additional information or to answer questions you may have about this briefing
Introduction - 2: Briefing Contents

This briefing includes three primary sections:

1. Current Status
   - Snapshot of the software community based on the most recent appraisal, since 2000, of reporting organizations

2. Community Trends
   - Global distribution of appraisals
   - Growth in the number of appraisals performed
   - Shifts in the maturity profile over time

3. Organizational Trends
   - Analysis of Key Process Area (KPA) satisfaction
   - Time to move up in maturity based on change in maturity level and time between appraisals
Section 1: Current Status

Appraisals conducted from 2000 through June 2004 and reported to the SEI by July 2004

- 1,543 organizations
- 741 participating companies
- 7,848 projects
- 59.2% Non-USA organizations

Please refer to: Terms Used in this Report on page 29
Based on most recent appraisal, since 2000, of 1,543 organizations reporting a maturity level rating
Reporting Organization Categories

- Commercial/In-house: 77.3%
- DoD/Fed Contractor: 18.9%
- Military/Federal: 3.8%

Based on 1,543 organizations reporting organization categories
Maturity Profile by Reporting Organization Categories

Based on most recent appraisal, since 2000, of 1,543 organizations reporting categories and a maturity level rating

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Process Maturity Profile - Page 8
Based on 646 organizations reporting SIC code. For more information visit: http://www.osha.gov/oshstats/sicser.html
Organization Size
Based on the total number of employees within the area of the organization that was appraised

Based on 1,512 organizations reporting size data
Maturity Profile by Organization Size
Based on the total number of employees within the area of the organization that was appraised

The number of organizations for the 2000+ category is small which inflates the maturity level bars. Please see page 9 and take this into account. The purpose of this chart is to indicate that all size categories contain most, if not all, maturity levels.

Based on 1,512 organizations reporting size data and a maturity level rating
USA and Non-USA Reporting Organization Categories

- Commercial/In-house: 23.6% USA, 53.7% Non-USA
- DoD/Fed Contractor: 13.4% USA, 5.4% Non-USA
- Military/Federal: 3.8% USA

Based on 630 U.S. organizations and 913 Non-USA organizations reporting organization categories
Based on 630 U.S. organizations and 913 Non-USA organizations reporting categories and a maturity level rating
Section 2: Community Trends

CBA IPIs, SPAs and SCAMPI v1.1 using the SW-CMM conducted since 1987 through June 2004 and reported to the SEI by July 2004

- 3,360 appraisals
  - 2,853 CBA IPIs
  - 484 SPAs
  - 23 SCAMPIs
- 2,561 organizations
- 922 participating companies
- 630 reappraised organizations
- 14,126 projects

Please refer to: Terms Used in this Report on page 29
Countries Where Appraisals have been Performed and Reported to the SEI

Argentina  Australia  Austria  Barbados  Belgium  Brazil  Czech Republic  Canada
Chile  China  Colombia  Costa Rica  Cyprus  Greece  Hong Kong  Hungary
Egypt  Finland  France  Germany  Japan  Korea, Republic of  Latvia
India  Ireland  Israel  Italy  Netherlands  New Zealand  Norway  Pakistan
Malaysia  Mauritius  Mexico  Netherlands  Puerto Rico  Russia  Saudi Arabia
Peru  Philippines  Poland  Portugal  Spain  Switzerland  Taiwan  Thailand
Singapore  South Africa  Spain  Sweden  United Arab Emirates  United Kingdom  United States  Uruguay
Turkey  Ukraine  Viet nam
# Number of Appraisals and Maturity Levels Reported to the SEI by Country

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The organization maturity profile, page 8, can be created for certain countries using the Interactive Maturity Profile available in the Software Engineering Information Repository Web site. Please visit: [http://seir.sei.cmu.edu](http://seir.sei.cmu.edu). If you are not already a member of the SEIR web site, please register to join. Registration and use of the site are free.
Number of SW-CMM Appraisals Reported to the SEI by Year

Based on 3,360 appraisals conducted through **June 2004** and reported to the SEI by **July 2004**

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Number of SW-CMM Appraisals Reported to the SEI by Organization Categories and Year

Based on 3,358 appraisals reporting organization categories
Trends in the Community Maturity Profile

Based on a cumulative view of the most recent appraisals of organizations up through the year indicated. This accounts for the difference from the figures on page 6.

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Software CMM - CBA IPI, SPA and SCAMPI Appraisal Results

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Process Maturity Profile - Page 19
Section 3: Organizational Trends

Appraisals conducted through June 2004 and reported to the SEI by July 2004

- **1,748 Key Process Area (KPA) profiles**
  - satisfaction of KPAs by maturity level for organizations appraised at levels 1 and 2

- **630 reappraised organizations**
  - accounting for 1,439 appraisals
  - although some organizations conducted multiple reappraisals, only the first and latest appraisals were used in creating the charts on pages 23 & 24

Please refer to: Terms Used in this Report on page 29
Key Process Area Profiles - 1
Organizations Appraised at Level 1

Percent of Appraisals

Software Subcontract Management (SSM) is not applicable/not rated in many assessments. Please take that into account when interpreting its Fully Satisfied rating.

Based on 571 CBA IPI and SCAMPI with SW-CMM appraisals reporting a maturity level rating.
Key Process Area Profiles - 2
Organizations Appraised at Level 2

Based on 1177 CBA IPI and SCAMPI With SW-CMM appraisals reporting a maturity level rating
Maturity Level of First and Latest Appraisal

Based on 630 reappraised organizations using their first and latest appraisal
Reappraisals
Change in Maturity Level

Based on 630 reappraised organizations using their first and latest appraisal
Time to Move Up

Number of months to move to next maturity level

Largest observed value that is not an outlier

75th Percentile

Median 25th Percentile

Smallest observed value that is not an outlier

Recommended time between appraisals

Time Period of Initial Appraisal

Pre-1992

1 to 2

164

2 to 3

242

3 to 4

51

4 to 5

59

1992 to Present

1 to 2

184

2 to 3

234

3 to 4

51

4 to 5

59

Pre-1992

1 to 2

25

2 to 3

12

1992 to Present

1 to 2

22

2 to 3

19

3 to 4

25

4 to 5

13

All (1987 to Present)

1 to 2

24

2 to 3

20

3 to 4

25

4 to 5

13

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August 2004

Process Maturity Profile - Page 25
Summary of Current Status

Commercial and in-house organizations reporting most appraisals

Of U.S. organizations, the services and manufacturing industries reported most appraisals

Half of the organizations reporting size have 100 or fewer software personnel

More Non-USA appraisals reported than U.S. in this 5 year snapshot
Summary of Organizational Trends - 1

Software Quality Assurance is the least frequently satisfied level 2 KPA among organizations* appraised at level 1

Integrated Software Management is the least frequently satisfied level 3 KPA among organizations* appraised at level 2

Higher maturity has been reached among those organizations reporting reappraisals

*Adjusted for number of organizations rating the KPA
Summary of Organizational Trends (continued) - 2

For organizations that began their CMM-based SPI effort in 1992 or later, the median time to move from:

- maturity level 1 to 2 is 22 months
- maturity level 2 to 3 is 19 months
- maturity level 3 to 4 is 25 months
- maturity level 4 to 5 is 13 months

Note: The median time to move from maturity level 2 to 3 dropped from 21 month to 19 month
Terms Used in this Report

Appraisal - The appraisal methods used in this report are the Software Process Assessment (SPA), CMM-Based Appraisal for both Internal Process Improvement (CBA IPI) and Standard CMMI® Appraisal Method for Process Improvement (SCAMPI). We do request and receive other Software CMM-based appraisals such as Software Capability Evaluations (SCE) and Interim Profiles. As our sampling size of these other methods increase, they will be reported here.

Company - Parent of the appraised entity
A company can be a commercial or non-commercial firm, for-profit or not for-profit business, a research and development unit, a higher education unit, a government agency, or branch of service, etc.

Organization - a.k.a. Appraised entity
The organization unit to which the appraisal results apply. An appraised entity can be the entire company, a selected business unit, units supporting a particular product line or service, etc.

Non-USA - Appraised entity whose geographic location is not within the United States. The parent of the appraised entity may or may not be based within the United States.
Report your Appraisal Results to the SEI

The briefing is only possible due to the cooperation of organizations and individuals sending in their appraisal results to the SEI

In order to provide this information and service in the future, it will depend on this continued cooperation

Please visit:

http://www.sei.cmu.edu/sema/report.html

for forms, information, and instructions on how to report appraisals to the SEI
Contact Information

Please visit:

http://www.sei.cmu.edu/sema/profile_about.html

and review the information provided before contacting:

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