CMMI Current State and Future Plans

Bob Rassa
Industry CMMI Chair, Raytheon

Clyde Chittister
Chief Operating Officer, Software Engineering Institute

November 16, 2004
Introduction

CMMI Adoption

- CMMI Appraisal Results
- CMMI Adoption Trends

CMMI Current Activities

- CMMI-AM (CMMI Acquisition Module)
- CMMI Product Suite v1.2 Update
Current Appraisal Synopsis

Based on SCAMPI v1.1 Class A appraisals conducted since the April 2002 release through August 2004

- 424 appraisals
- 385 organizations
- 206 participating companies
- 33 reappraised organizations
- 1,704 projects
- 54.8% Non-USA organizations

For more information go to: http://www.sei.cmu.edu/sema/profile_cmmi.html
Reporting Organizational Types

- Commercial/In-house: 59.0% (Blue), 47.3% (Red)
- Contractor for Military/Government: 34.5% (Blue), 45.1% (Red)
- Military/Government Agency: 6.5% (Blue), 7.7% (Red)

% of Organizations

Blue 385 organizations 2004
Red 91 organizations 2003
Organizations Type
Based on Primary Standard Industrial Classification (SIC) Code

Based on 178 organizations reporting SIC code. For more information visit: http://www.osha.gov/oshstats/sicser.html
Organizational Size

Based on the total number of employees within the area of the organization that was appraised

Based on 376 organizations reporting size data
Disciplines Selected for Appraisals

Based on 424 appraisals reporting coverage
Maturity Profile by All Reporting Organizations

Based on most recent appraisal of 385 organizations

- Not Given: 11.7%
- Initial: 4.7%
- Managed: 31.7%
- Defined: 25.2%
- Quantitatively Managed: 4.7%
- Optimizing: 22.1%
Countries where Appraisals have been Performed and Reported to the SEI

- Argentina
- Colombia
- Israel
- Singapore
- United Kingdom
- Australia
- Denmark
- Japan
- South Africa
- United States
- Belarus
- Finland
- Korea, Republic of
- Spain
- Brazil
- France
- Malaysia
- Sweden
- Canada
- Germany
- New Zealand
- Switzerland
- Chile
- Hong Kong
- Philippines
- Taiwan
- China
- India
- Russia
- Thailand

Red country name: New additions with this reporting since Nov. 2003
Maturity Profiles by All Reporting USA and Non-USA Organizations

Based on 174 U.S. organizations and 211 Non-USA organizations
Number of Appraisals Conducted by Year

Reported as of 1 November 2004

- SPA
- SEI CBA IPI
- SCAMPI vX Class A
Number of SCAMPI v1.x Appraisals Conducted by Year by Model Representation*

Reported as of 1 November 2004

*Where Representation is reported

<table>
<thead>
<tr>
<th>Year</th>
<th>Staged</th>
<th>Continuous</th>
</tr>
</thead>
<tbody>
<tr>
<td>1999</td>
<td>10</td>
<td>5</td>
</tr>
<tr>
<td>2000</td>
<td>15</td>
<td>4</td>
</tr>
<tr>
<td>2001</td>
<td>20</td>
<td>7</td>
</tr>
<tr>
<td>2002</td>
<td>40</td>
<td>20</td>
</tr>
<tr>
<td>2003</td>
<td>140</td>
<td>40</td>
</tr>
<tr>
<td>YTD 2004</td>
<td>200</td>
<td>50</td>
</tr>
</tbody>
</table>
Number of SCAMPI v1.1 Appraisals Conducted by Month
Reported as of 1 November 2004
Appraisal Results Summary

424 appraisals have been reported to the SEI in 27 months

Commercial organization percent is increasing compared to the government contractor community

- higher percentage of the commercial organizations are outside of the U.S.A.
- higher percentage of the government contractors are located in the U.S.A.

Of U.S. organizations, the services and manufacturing industries reported most appraisals

Compared to the early reports of the SW-CMM maturity profile, the early data reflects a relatively more mature CMMI® profile
CMMI Transition Status,
Reported as of 30 September 2004

**Training**

Introduction to CMMI – 24,091 trained  
Intermediate CMMI – 1,166 trained  
Introduction to CMMI Instructors – 349 trained  
SCAMPI Lead Appraisers - 470 trained

**Authorized**

Introduction to CMMI V1.1 Instructors – 219  
SCAMPI V1.1 Lead Appraisers – 344
CMMI Transition Status

Reported as of 30 September 2004

**SEI (Transition) Partners**

123 Introduction to CMMI Partners
- 105 commercial offerors
- 15 internal-use only
- 3 government-use only

172 SCAMPI Appraiser Services Partners
- 158 commercial offerors
- 10 internal-use only
- 4 government-use only

For more info go to: http://www.sei.cmu.edu/partners
Number of Lead Appraisers Authorized (Cumulative) Reported as of 31 October 2004

- Lead Evaluators (SCE)
- Lead Assessors (CBA IPI)
- Lead Appraisers (SCAMPI)
Intro to the CMM and CMMI Attendees (Cumulative) Reported as of 30 September 2004

- CMM Intro
- CMMI Intro
- CMMI Intermediate

© 2004 by Carnegie Mellon University
CMMI Adoption Trends: Website Visits

There were 392 organizations that visited the CMMI website more than 200 times during September 2004

- 56 defense contractors
- 9 DoD activities
- 28 government agencies
- 253 commercial companies
- 46 universities
CMMI Adoption Trends: Website Visits

Total number of page views per month

© 2004 by Carnegie Mellon University
Performance Results Summary
Reported as of 12 November 2004

23 organizations reported credible quantitative evidence in conference presentations and via direct communication with the SEI:

- initial CMMI benefits and ROI report, October 2003
- CMMI Technology Conference, November 2003
- SEPG and European SEPG, March and June 2004
- confidential communication with SEI

14 of these organizations reported results from which we can show percent change over time.

Future results will come from:
- externally conducted case studies
- collaborative case studies
- community benchmarking
# Performance Results Summary

Reported as of 12 November 2004

<table>
<thead>
<tr>
<th>Improvements</th>
<th>High</th>
<th>Low</th>
<th>Median</th>
<th># of data points</th>
</tr>
</thead>
<tbody>
<tr>
<td>Cost</td>
<td>83%</td>
<td>5%</td>
<td>26%</td>
<td>8</td>
</tr>
<tr>
<td>Schedule</td>
<td>90%</td>
<td>15%</td>
<td>55%</td>
<td>10</td>
</tr>
<tr>
<td>Productivity</td>
<td>75%</td>
<td>11%</td>
<td>28%</td>
<td>4</td>
</tr>
<tr>
<td>Quality</td>
<td>72%</td>
<td>33%</td>
<td>47%</td>
<td>6</td>
</tr>
<tr>
<td>Customer Satisfaction</td>
<td>55%</td>
<td>10%</td>
<td>33%</td>
<td>3</td>
</tr>
<tr>
<td>Return on Investment</td>
<td>13 : 1</td>
<td>2 : 1</td>
<td>3.8 : 1</td>
<td>4</td>
</tr>
</tbody>
</table>
CMMI Acquisition Module (CMMI-AM)

Draft released February 2004

Focuses on **effective acquisition activities and practices** that are implemented by first-level acquisition projects (e.g., System Project Office/Program Manager)

Acquisition practices drawn and summarized from existing sources of best practices

- Software Acquisition Capability Maturity Model (SA-CMM)
- Capability Maturity Model Integration (CMMI)
- FAA Integrated Capability Maturity Model (iCMM)
- Section 804

Intended to be used in conjunction with the CMMI as an acquisition “lens” for interpreting the CMMI in acquisition environments
CMMI Acquisition Module

Project Management
- Project Planning
- Project Monitor and Control
- Integrated Project Management
- Risk Management
- Integrated Teaming
- Solicitation and Contract Monitoring

Engineering
- Requirements Management
- Requirements Development
- Verification
- Validation

Support
- Configuration Management
- Process and Product Quality Assurance
- Measurement and Analysis
- Decision Analysis and Resolution
- Transition to Operations and Support
- Organizational Environment for Integration
CMMI-AM Phase III Progress

• pilot program assessments complete.
  – 8 pilots conducted by OSD

• draft report prepared by OUSD; reviewed by assessment team members who had participated on more than one assessment (10 people)

• report, including recommendations, delivered to OUSD management and CMMI–AM authors on October 8th

• report, including recommendations for CMMI-AM Update, to be presented to Steering Group and Sponsor at November meeting
CMMI-AM Pilot Results: Positive

• no show stoppers to prevent immediate use when accompanied with training

• applicable to all life cycle phases, applications, and program size within pilot study with appropriate interpretation

• more robust process areas: Project Planning, Project Monitoring and Control, Risk Management, Requirements Management, and Requirements Development
CMMI Version 1.2 Plan

Prime Rule: Maintain CMMI Stability
- No Major Changes in v1.2 that will affect previous appraisals

New Framework Architecture

New Content Opportunities

Single Course Training

Model Simplifications
CMMI v1.2 Architecture

- Revised (internal) Architecture will allow better accommodation of content
  - In CMMI v1.1, “IPPD” and “SS” are not “disciplines” in the same sense as SE and SW
    - Defined as CMMI-SE/SW/IPPD/SS because of architecture constraints
  - V1.2 Architecture will allow proper treatment of focus elements such as IPPD and SS, and the easy addition of other elements such as safety or security, for example
    - Existing PAs can now be changed when adding such additional focus elements
- New designation: CMMI-SE/SW+IPPD+SS
Additional Content Opportunities

New v1.2 architecture allows additional constructs
• new framework will support Services and Operations content, such as maintenance/support, help desk, etc via new “services constellation” of CMMI
  - proposal for “Services” Constellation already received by CMMI Steering Group

• allows present “development constellation” to be better structured
  - i.e. CMMI-SE/SW+IPPD+SS
  - allows for additional focus elements such as safety or security
    – NOTE these are NOT disciplines in the sense of SE and SW

• additional content will follow release of v1.2
“Single Book, Single Course” Strategy

- CMMI v1.2 will consolidate both staged and continuous representations
- single course for “Intro to CMMI” has been created
  - first public offering here last week
    - 1/3 of “staged” proponents converted to “continuous”
  - new instructors will only be trained in single course
  - existing instructors will receive upgrade training
  - staged and continuous courses will be available until planned upgrade training is complete

- SCAMPI refinements will complement the strategy
Model Simplifications

To address size and complexity
- “single book” approach to model
  - not eliminating staged or continuous, just packaging better
- eliminate concept of advanced practices.
- eliminate concept of common features.
- improve model-method interactions for artifacts.
  - detailed analysis revealed that no PA combinations had validity or merit

To address hardware design applicability
adding “hardware” amplifications to provide better coverage of hardware design in CMMI
  - NOT adding “HW” discipline! Design of hardware is already covered in CMMI, just not as well as we would have liked
CMMI v1.2 Schedule

- several thousand change requests were analyzed since January 2004
  - content, clarifications, errata, etc
- development of Change Packages (CPs) underway
  - Configuration Control Board (CCB) starts meeting now
    - approve CPs for development into Implementation Packages (IPs)
    - training update will occur to match model
- pilot v1.2 in early FY06 (i.e. Fall 2005)
- release v1.2 late FY06 (i.e. June 2006)
- Release date of “Services” constellation will follow within 3 to 6 months
Summary

CMMI is in widespread adoption
- more people CMMI-trained in past 2-1/2 years than in 12 years of SW-CMM

- CMMI Appraisal results flowing fast & furious
  - 1st USAF site achieved CMMI-SE/SW Level 5
    - Warner Robins AFB, Oct 2004

CMMI is already demonstrating substantial ROI and measurable benefits to adopting organizations

CMMI v1.2 will bring needed, but gentle, improvements

CMMI v1.2 “Services” constellation will provide full life cycle and enterprise coverage

http://www.sei.cmu.edu/cmmi